

**PATENT**  
Customer Number: 22,852  
Atty. Dkt. No.: 7451.0001-16  
InterTrust Ref. No.: IT-5.6.1(US)

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MAY 22 2001  
Technology Center 2100

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CPI/LST



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/698,044	10/30/2000	2132	1142	7451.0001-16	147	44	3

## FILING RECEIPT

Finnegan Henderson Farabow Garrett & Dunner LLP  
1300 I Street NW  
Washington, DC 20005



\*OC000000005674931\*

Date Mailed: 01/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

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## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/208,017 12/09/1998  
AND SAID which is a con of 08/1904,323 11/04/97, now U.S. Pat No. 5,982,891  
which IS A CON OF 08/388,107 02/13/1995 ABN  
file wrapper continuation

JAN 16 2001  
FINNEGAN, HENDERSON,  
FARABOW, GARRETT & DUNNER, L.L.P.

## Foreign Applications

If Required, Foreign Filing License Granted 01/11/2001

## Title

Systems and methods for secure transaction management and electronic rights protection

## Preliminary Class

705

DKT  
1/16/01  
A01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Prior Application: 09/208,017

Filed: December 9, 1998

Of: Karl L. Ginter, et al.

For: SYSTEMS AND METHODS FOR SECURE TRANSACTION MANAGEMENT  
AND ELECTRONIC RIGHTS PROTECTION

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

SIR:

This is a request for filing a ☒ Continuation ☐ Divisional Application under 37 C.F.R. § 1.53(b) of pending prior application Serial No. 09/208,017, filed December 9, 1998, which is a continuation of application Serial No. 08/964,333, filed November 4, 1997 (now U.S. Patent No. 5,982,891), which is a file wrapper continuation under 37 C.F.R. § 1.62 of parent application Serial No. 08/388,107, filed February 13, 1995, now abandoned

1. ☒ Enclosed is a complete copy of the prior application as originally filed including the drawings and Declaration. I hereby verify that the attached papers are a true copy of prior application Serial No. 09/208,017, as originally filed on December 9, 1998.
2. ☐ Enclosed is a substitute specification under 37 C.F.R. § 1.125.
3. ☒ Cancel Claims 1-90.
4. ☒ A Preliminary Amendment is enclosed.
5. ☒ The filing fee is calculated on the basis of the claims existing in the prior application as amended at 3 and 4 above.

For	Number Filed	Number	Extra	Rate	Basic Fee	\$710.00
Total Claims	44	-20=	24	x\$18.00=		\$432.00
Independent Claims	3	-3 =	0	x\$80.00=		\$0.00
Multiple Dependent Claim(s) (if applicable)				\$260.00=		
Total						\$1,142.00
Reduction by 2 for filing by small entity						
TOTAL FILING FEE						\$1,142.00

6. ☒ A check in the amount of \$1,142.00 to cover the filing fee is enclosed.
7. ☒ The Commissioner is hereby authorized to charge any fees which may be required including fees due under 37 C.F.R. § 1.16 and any other fees due under 37 C.F.R. § 1.17, or credit any overpayment during the pendency of this application to Deposit Account No. 06-0916.
8. ☒ Amend the specification by inserting before the first line, the sentence:  
  
--This application is a continuation of commonly assigned copending application Serial No. 09/208,017, filed December 9, 1998, which is a continuation of application Serial No. 08/964,333, filed November 4, 1997 (now U.S. Patent No. 5,982,891), which is a file wrapper continuation under 37 C.F.R. § 1.62 of parent application Serial No. 08/388,107, filed February 13, 1995 (now abandoned), all of which are incorporated herein by reference.--
9. ☐ New formal drawings are enclosed.
10. ☒ The prior application is assigned of record to: InterTrust Technologies Corporation.
11. ☐ Priority of application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ (country) is claimed under 35 U.S.C. ' 119. A certified copy  
  
☐ is enclosed or ☐ is on file in the prior application.
12. ☐ A verified statement claiming small entity status  
  
☐ is enclosed or ☐ is on file in the prior application.
13. ☐ A copy of a new power of attorney is enclosed. This power of attorney replaces the power of attorney filed in the prior application.

LAW OFFICES

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14. ■ Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT and DUNNER, L.L.P., 1300 I Street, N.W., Washington, D.C. 20005-3315.

PETITION FOR EXTENSION. If any extension of time is necessary for the filing of this application, including any extension in the parent application, Serial No. 09/208,017, filed December 9, 1998, for the purpose of maintaining copendency between the parent application and this application, and such extension has not otherwise been requested, such an extension is hereby requested, and the Commissioner is authorized to charge necessary fees for such an extension to our Deposit Account No. 06-0916. A duplicate copy of this paper is enclosed for use in charging the deposit account.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Date: October 27, 2000

By: Linda J. Thayer  
Linda J. Thayer  
Reg. No. 45,681

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Atty. Dkt. No.: 7451.0001-16  
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# **United States Patent Application**

**of**

***Karl L. Ginter  
Victor H. Shear  
Francis J. Spahn  
and  
David M. Van Wie***

**for**

***Systems and Methods For Secure Transaction  
Management and Electronic Rights Protection***

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